

SECRET

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington D.C. 20231-9998, on:

Date: Dec. 22, 2000 By: Julie A. Eslick  
Signature of person depositing First-Class Mail

**IN THE UNITED STATES PATENT AND PATENT  
TRADEMARK OFFICE**

*TRANSMITTAL OF MISSING PARTS FOR  
PATENT APPLICATION PURSUANT TO  
37 C.F.R. §1.53(d)*

Applicant(s): Yuu OKADA, et al.

Serial No.: 09/660,766

TITLE: OPTICAL DISC APPARATUS



Docket No.: 28569.6500

Filed: September 13, 2000

Group Art Unit: 2651

Assistant Commissioner for Patents  
Box **MISSING PARTS**  
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on October 26, 2000 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

1: **Small Entity Status**

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

## 2. Fee Calculation

Basic Fee (\$690) + surcharge (\$130)					=	\$ 820.00
Total Claims	22	minus	20	=	x	\$ 18.00 = 36.00
Independent Claims	1	minus	3	=	x	\$ 78.00 = .00
Multiple dependent claim fee, if applicable						\$260.00 + 0.00
Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)						

<input type="checkbox"/>	Missing parts filed within <b>first</b> month after due date – add	\$ 110.00	+	N/A
<input type="checkbox"/>	Missing parts filed within <b>second</b> month after due date – add	\$ 380.00	+	N/A
<input type="checkbox"/>	Missing parts filed within <b>third</b> month after due date – add	\$ 870.00	+	N/A
<input type="checkbox"/>	Missing parts filed within <b>fourth</b> month after due date – add	\$1360.00	+	N/A

**Subtotal:** 856.00

If one of the “small entity” boxes above is checked, enter half (1/2) of the Subtotal and subtract. - N/A

Add: Fee (\$40.00) for recording assignment and other fee(s): 40.00

**Less fees submitted with application, Snell & Wilmer’s Check No. 485213** 726.00

**TOTAL FEES ENCLOSED:** 170.00

## 3. Enclosures (check as applicable)

☒ Notice of Missing Parts (copy)  
☒ Executed Combined Declaration and Power of Attorney  
☐ Power of Attorney  
☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27  
☒ Check for **remaining fees (Snell & Wilmer’s check No. 282392)**.  
☒ Assignment and required Recordation Form Cover Sheet  
☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)  
☒ Other: Japanese Priority Document No. 11-258578

### **Extra copies of this letter:**

☒ for charge to deposit account (**deficiency only**)  
☒ for assignment

## 4. Manner of Payment

☐ Please charge Deposit Account No. 19-2814 in the amount of \$\_\_\_\_\_. **A duplicate copy of this sheet is attached.**

☒ A check in the amount of \$170.00 is attached (Snell & Wilmer Check No. 282392).

**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

**5. Assignment**

[ X ] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

The Patent and Trademark Office is respectfully requested to send a corrected filing receipt for this application to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By Michael K. Kelly  
Michael K. Kelly  
Reg. No. 32,848

**SNELL & WILMER L.L.P.**  
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